USPTO RECEIPTS ACCOUNTING PRINTING

2008 OCT -3 PM 4: 27 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Alan J. Lipton et al.

Art Unit: 2621

Application No: 10/766,949

Examiner: G. S. Philippe

Confirmation No: 2493

Atty. Docket No: 37112-192025

Filed: January 30, 2004

Customer No:

For: VIDEO-BASED PASSBACK EVENT

DETECTION

26694
PATENT TRADEMARK OFFICE

REQUEST FOR REFUND

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

ATTENTION: Mail Stop Refunds

Dear Sir:

At the time of filing the Amendment, and paying the Issue Fee in the above-referenced application on September 21, 2007 and January 9, 2008, respectively, large-entity additional claims fee of \$250.00, independent claims fee of \$2000.00 and the Issue Fee of \$1,440.00, totaling \$3,690.00, were paid. Payment of the large-entity fees was in error because Applicant and Assignee were entitled to small-entity status. Applicants, therefore, respectfully request a refund in the amount of \$1,845.00, representing the amount of the overpayment. Copies of the stamped Fee Transmittal filed on September 21, 2007 indicating payment of the \$2,250.00, and stamped Fee Transmittal filed January 9, 2008 indicating payment of the \$1,440.00, are attached. A statement for the Deposit Account No. 22-0261 indicating that \$2,250.00 was charged thereto on September 24, 2007 and \$1,440.00 was charged thereto on January 10, 2008 is also enclosed.

The Application Data Sheet that was filed concurrently with the application, and the Supplemental Application Data Sheet that was filed on August 5, 2004, also noted incorrectly

Application No.: 10/766,949 Docket No.: 37112-192025

that the Applicant was not a small entity. Accordingly, a Supplemental Application Data Sheet is also being submitted herewith.

Please refund the difference in the government fees (in the amount of \$1,845.00) between a small entity and a large entity by crediting the refunded amount to our Deposit Account No. 22-0261, and notify the undersigned accordingly.

Dated: 10/2/2008

#303671

Respectfully submitted,

Michael A. Sartori, Ph.D.

Registration No.: 41,289

VENABLE LLP

P.O. Box 34385

Washington, DC 20043-9998

(202) 344-4000

(202) 344-8300 (Fax)

Attorney/Agent For Applicant

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|---|---|---|----|
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| - 00 / | | | | | | | |
|--------|----------------|-----|----------------------------------|---------------------------------------|---------------|----------------------|-------------------|
| | 09/21 | 218 | 11902306 | 89535-250116 | 1201 | \$200.00 | \$88 |
| | 09/21 | | 11886690 | 64845-249739 | 1631 | \$300.00 | \$87 |
| 100 | 09/21 | 112 | 11886690 | 64845-249739 | 1642 | \$400.00 | \$87 |
| | 09/21 | 113 | 11886690 | 64845-249739 | 1633 | \$200.00 | \$87 |
| | 09/21 | 114 | 11886690 | 64845-249739 | 1615 | \$250.00 | \$8€ |
| | 09/21 | | 09987707 | 37112-175340 | 2201 | \$100.00 | \$ 8€ |
| | 09/21 | 17 | 09987707 | 37112-175340 | 2202 | \$75.00 | \$86 |
| | 09/21 | | 78830295 | 12242-229083 | 6002 | \$100.00 | \$8€ |
| | 256 | | 78805278 | 50871-216851 | 7004 | \$300.00 | \$8€ |
| | 09/21 | | 11898759 | 76326-250693 | 8021 | \$40.00 | \$ 8€ |
| | 98 | | 77285389 | 99997.244848 | 7001 | \$325.00 | \$85 |
| | 09/21 | | 10963786 | 40424-206534 | 1501 | \$1,400.00 | \$84 |
| | 09/21 | | 10983786 | 40424-206534 | 1504 | \$300.00 | \$84 |
| | 09/21 | | 11440997 | 31794-230317 | 1501 | \$1,400.00 | \$82 |
| | 09/21 | | 11440997 | 31794-230317 | 1504 | \$300.00 | \$82 |
| | 3 | | 77285471 | 74725-TBA | 7001 | \$650.00 | \$81 |
| | H . | | 11859038 | 13346-243080 | 1011 | \$300.00 | \$81 |
| | 3 | | 11859038 | 13348-243080 | 1111 | \$500.00 | \$81 |
| | # | | 11859038 | 13346-243080 | 1311 | \$200.00 | \$80 |
| | a | | 11859038 | 13346-243080 | 1202 | \$150.00 | \$8(|
| | 09/21 | | 11332711 | 61368-227301 | 1253 | \$1,020.00 | \$78 |
| | 09/21 | | 10686814 | 28549-184882 | 2252 | \$225.00 | \$78 |
| | 09/21 | | 11902338 | 87878-249267 | 1011 | \$300.00 | \$78 |
| | 09/21 | | 11902338 | 87878-249267 | 11 1 1 | \$500.00 | \$78 |
| | 09/21 | | 11902338 | 87878-249267 | 1311 | \$200.00 | \$78 |
| | 09/21 | | 10394026 | 32011-188166 | 1501 | -\$1,400.00 | \$78 |
| | 09/21 | | 10394026 | 32011-188166 | 1504 | -\$300.00 | \$80 |
| | 09/21 | | PCT/US07/20328 | | 1601 | \$300.00 | \$79 |
| | 09/21 | | PCT/US07/20328 | | 1602 | \$1,000.00 | \$78 |
| | 09/21 | | PCT/US07/20328 | | 1701 | \$1,008.00 | \$77 |
| | 09/21 | | PCT/US07/20328 | | 1703 | \$84.00 | \$77 |
| | 09/21 | | PCT/US07/20328 | · · · · · · · · · · · · · · · · · · · | 8007 | \$20.00 | \$77 |
| | 09/24 09/24 | | PCT/US07/20339 | | 1601 | \$300.00 | \$77 |
| | 09/24 | | PCT/US07/20339 | | 1703 | \$36.00 | \$77 |
| | 09/24 | | PCT/US07/20339 | • | 8007 | \$20.00 | \$77 |
| | 09/24 | | PCT/US07/20339 PCT/US07/20339 | | 1701 | \$1,008.00 | \$76 |
| | 09/24 | | 77285789 | 90429-251108 | 1602 | \$1,000.00 | \$75 |
| | 09/24 | | 77285879 | 31781-251264 | 7001 | \$325.00 | \$75 |
| | 09/24 | | 77285986 | 31915-251297 | 7001 | \$325.00 | \$74 |
| | 09/24 | | 11392664 | 43315-229739 | 7001 | \$325.00 | \$74 |
| | 09/24 | | 11007903 | 1015-US3 | 1253 | \$1,020.00 | \$ 73 |
| | 09/24 | | 11007903 | 1015-US3 | 1801 | \$790.00 | \$72 |
| | 09/24 | | 10766949 | 37112-192025- | 1253 1201 | \$1,020.00 | \$71 |
| | 09/24 | | 10766949 | 37112-192025 — | 1202 | \$2,000.00 | \$6! (X |
| | 09/24 | | | 61368-251269 | 1011 | \$250.00 \$300.00 | \$6! \$ 6! |
| | 09/24 | | | 61368-251269 | 1111 | \$500.00 | \$6ŧ |
| | 09/24 | | | 61368-251269 | 1311 | \$200.00 | \$6ŧ |
| | 1 | | · - - | - · | | 4200.00 | 40 t |

| | 0 - 00 | | | | | | | |
|---|--------|----------------|------------|----------------------|--|--------------|------------------------|------------------------|
| | 2008 | | | | | | | |
| 1 | | 01/09 | 2641 | 77366756 | 74725-TBA | 7001 | \$650.00 | \$115,556 |
| | | 01/09 | | 76359441 | 32287-255000 ASSIGN. | 8521 | \$40.00 | \$115,516 |
| | | 01/09 | 244 | 12007234 | 69993-254386 | 1011 | \$310.00 | \$115,206 |
| 1 | | 01/09 | 246 | 12007234 | 69993-254386 | 1311 | \$210.00 | \$114,996 |
| ١ | | 01/09 | 248 | 12007234 | 69993-254386 | 1051 | \$130.00 | \$114,866 |
| | | 01/09 | 247 | 12007234 | 69993-254386 | 1202 | \$50.00 | \$114,816 |
| | | 01/09 | 245 | 12007234 | 69993-254386 | 1111 | \$510.00 | \$114,306 |
| | | 01/09 | 104 | 11015643 | 32128-211513 | 1801 | \$810.00 | \$113,496 |
| | | 01/09 | 105 | 11015643 | 32128-211513 | 1251 | \$120.00 | \$113,376 |
| | | 01/09 | 34 | 60853025 | 43315-237754 | 8021 | \$40.00 | \$113,336 |
| | | 01/09 | 107 | 10804023 | 109536.163US2 | 1252 | \$460.00 | \$112,876 |
| | | 01/09 | 116 | 10979107 | 00393-205187 | 2252 | \$230.00 | \$112,646 |
| ١ | | 01/09 | 5 . | 11666303 | 139340 | 2642 | -\$200.00 | \$112,846 |
| | | 01/09 | 6 | 11666303 | 58086-244724 | 2641 | \$50.00 | \$112,796 |
| 1 | | 01/09 | 9625 | 60677342 | 43314-218253 | 8013 | \$25.00 | \$112,771 |
| | | 01/09 | | 77160499 | 43622-244783 | 6003 | \$200.00 | \$112,571 |
| ı | | 01/09 | | 77109285 | 43622-241635 | 6003 | \$100.00 | \$112,471 |
| | | 01/09 | | 3182824 | 1 | 6214 | \$100.00 | \$112,371 |
| | | | | 77023797 | 090191.250992 | 7004 | \$150.00 | \$112,221 |
| | | | | 77367484 | KSN VENTURES | 7001 | \$325.00 | \$111,896 |
| 1 | | 01/09 | | 11597788 | 27444-238835 | 1051 | -\$130.00 | \$112,026 |
| ۱ | | 01/09 | | 11597788 | 27444-238835 | 2617 | \$65.00 | \$111,961 |
| - | | 01/10 | | 11988433 | 32308-250013 | 1631 | \$310.00 | \$111,651 |
| - | | 01/10 | | 11988433 | 32308-250013 | 1641 | \$100.00 | \$111,551 |
| ı | | 01/10 | | 11988433 | 32308-250013 | 1633 | \$210.00 | \$111,341 |
| | | 01/10 | | 78652348 | 35527-219507 | 7003 | \$100.00 | \$111,241 |
| | | 01/10 | | 29277945 | 76115-241685 | 1502 | \$820.00 | \$110,421 |
| - | | 01/10 01/10 | | 78904822 11395325 | 12928-232470 | 7004 | \$600.00 | \$109,821 |
| | | 01/10 | | 11395325 | 1139532405-230033 1139532405-230033 | 1501 1504 | \$1,440.00 \$300.00 | \$108,381 \$108,081 |
| | | 01/10 | | 10924818 | 69179-230012 | 1504 | \$1,440.00 | \$106,081 \$106,641 |
| | | 01/10 | | 10924818 | 69179-230012 | 1504 | \$300.00 | \$106,341 |
| | | 01/10 | | 10766949 | 37112-192025 | 1501 | \$1,440.00 | \$104,901 |
| | | 01/10 | | 10766949 | 37112-192025 | 1504 | \$300.00 | \$104,601 |
| | | 01/10 | | 77368182 | 74725-TBA(XPERIA) | 7001 | \$650.00 | \$103,951 |
| | | 01/10 | | 11579180 | 43315-237944 | 8021 | \$40.00 | \$103,911 |
| | | 01/10 | | 78937920 | 41123-TBA | 6003 | \$100.00 | \$103,811 |
| | | 01/10 | | 11907192 | 35947-252078 | 8021 | \$40.00 | \$103,771 |
| | | 01/10 | | 78937920 | 41123-TBA | 6004 | \$150.00 | \$103,621 |
| 1 | | 01/10 | | 11984081 | 89535-252470 | 8021 | \$40.00 | \$103,581 |
| | | 01/10 | 9499 | 78827146 | 87278-248447 | 7004 | \$150.00 | \$103,431 |
| | | 01/10 | 10525 | 29277981 | 76115-241680 | 1502 | \$820.00 | \$102,611 |
| | | 01/10 | 12 | 78643158 | 55122-129030 | 7001 | -\$1,300.00 | |
| | | 01/10 | 13 | 78643158 | 55122-129030 | 7001 | \$650.00 | \$103,261 |
| ļ | | 01/10 | 14 | 78979684 | | 7001 | \$650.00 | \$102,811 |
| | | 01/10 | 15 | 78643158 | 55122-219030 | 6003 | -\$200.00 | \$102,811 |
| | | 01/10 | | 78979684 | | 6003 | \$200.00 | \$102,611 |
| | | 01/10 | 71 | 11950822 | 53650-245130 | 1011 | \$310.00 | \$102,301 |
| • | | | | | | | | |



PTO/SB/17 (07-07)
Approved for use through 08/30/2010. ONB 0651-0032
U.S. Pateru and Tradement Office; U.S. DEPARTMENT OF COMMERCE
d to a collection of information content.

| Fl | Under the Paperwork Reduction Act of | 1995, no person ere required | to respond to a collection | | | | control number | | | | |
|----|--|------------------------------|------------------------------|-------------------|------------------------|--------------|--|--|--|--|--|
| И | Effective on 12/03/ | 10 D4. | | Complete If Known | | | | | | | |
| | Fees pursuant to the Consolidated Approp | | | | 10/766,949-Conf. #2493 | | | | | | |
| ł | FEE TRANS | Filing Date | | | January 30, 2004 | | | | | | |
| 1 | For FY 20 | First Named Inv | | Alan J. Lipton | | | | | | | |
| ı | | | Examiner Name G. S. Philippe | | | | | | | | |
| ļ | Applicant claims small entity state | | Art Unit | | 2613 | | | | | | |
| ı | TOTAL AMOUNT OF PAYMENT | (8) 2,250.00 | Attorney Docket | No. | 37112-192025 | | | | | | |
| ĺ | METHOD OF PAYMENT (check all that apply) | | | | | | | | | | |
| ١ | Check Credit Card | Money Order | None Other (| pleuse ideuti | īy): | | | | | | |
| 1 | X Deposit Account Deposit Account | млоог. | Osposit / | Account Name | Ver | able LLF | | | | | |
| ١ | For the above-identified depo | sit account, the Directo | r is hereby authorize | d to: (che | ck all that apply) | | | | | | |
| 1 | X Charge fee(s) Indicated | below | Charge | 100(\$) inc | dicated below, ex | cept for t | he filing fee | | | | |
| ١ | Charge any additional f | ee(s) or underpayment | s of X Credit | any overp | ayments | | | | | | |
| ı | fee(s) under 37 CFR 1. | 16 and 1.17 | | | <u>.</u> | | | | | | |
| ļ | FEE CALCULATION | VAMINATION FEED | | | | | ······································ | | | | |
| ١ | 1. BASIC FILING, SEARCH, AND E | | SEARCH FEES | EXAMI | NATION FEES | | | | | | |
| | | Small Entity | Small Entity | | Small Entity | <u> </u> | -. | | | | |
| ١ | Application Type Fee (\$ | | (\$) Fee (\$) | Fee (\$) | ERP.(\$) | F003 | Fees Paid (\$) | | | | |
| 1 | Utility 300 | | 250 | 200 | 100 | | | | | | |
| 1 | Design 200 | | 00 50 | 130 | 65 | | | | | | |
| | Plant 200 | | 00 150 | 160 | 80 | | | | | | |
| | Reissue 300 | | 00 250 | 600 | 300 | | | | | | |
| | Provisional 200 | 100 | 0 0 | 0 | 0 | | | | | | |
| | 2. EXCESS CLAIM FEES | | • | | | Fee (S) | Small Entity Fee (\$) | | | | |
| ł | Fee Description Each claim over 20 (including Reiss | ues) | | | | 20 ERETER | 25 | | | | |
| 1 | Each independent claim over 3 (incl | • | | | | 200 | 100 | | | | |
| ١ | Multiple dependent claims | | | | | 360 | 180 | | | | |
| 1 | <u> Total Claims</u> Extra Claims | Fee (\$) Fo | e Paid (\$) | M | uitipie Depanda | nt Claims | | | | | |
| ł | 45 -60 - 5 | 50.00 | 250.00 | E | 10 (\$) E | oo Pald (| <u>s</u> | | | | |
| 1 | HP = highest number of total claims peid for | , if greater than 20. | | | | | | | | | |
| | Indep, Claims Extra Claims | | e Paid (\$) | | | | | | | | |
| [| | | 2,000.00 | | | | | | | | |
| | HP = highes) number of independent delma | pew for, if greater than 3. | | | | | | | | | |
| ١ | 3. APPLICATION SIZE FEE If the specification and drawings ex | and IM shows af you | (-voludina electr | ominally fi | lad annuance or | romaler | | | | | |
| | listings under 37 CFR 1.52(e)), sheets or fraction thereof. See 3 | the application size fee | due is \$250 (\$125 (| | | | 0 | | | | |
| 1 | Total Sheets Extra Sheet | | h additional 50 or frac | tion there | of Fee (\$) | Fee | Paid (\$) | | | | |
| J | - 100 = | /50 = | (round up to a who | | | · | | | | | |
| 1 | 4. OTHER FEE(S) | | | | • | Fees | Paid (\$) | | | | |
| Į | Non-English Specification, \$130 fee (no small entity discount) | | | | | | | | | | |
| | Other (e.g., late filing surcharge) | | | | | | | | | | |
| ì | SUBMITTED BY AL 3 | | | | | | | | | | |
| | Signature /// XV | | egistration No. 41,28 | 9/53,825 | Telephone | (202) 34 | 4-4004 | | | | |
| 1 | Name (Print/Type) Michael A. Egitor | I, Ph.D./Marina V. Za | | | Date Sep | tember 2 | 1, 2007 | | | | |
| • | ······································ | | | | | | | | | | |

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| JAN STEW | 2 |

| | Effective on 12/08/2004. | | | | Co | mplete if Know | | | |
|---|---|------------------------|---------------------|---|-----------------------------|--------------------|-------------|---------------|--|
| Fees pursuent to the Cor | solidated Appropriatio | ns Act, 1005 (H.R. 4 | 81D. 📝 | Application Num | ber | 10/766,949-Co | | | |
| FEE TRANSMITTAL | | | | Filing Date | | January 30, 2004 | | | |
| 7 1 | | | | Trat Named Inv | entor | Alan J. Lipton | | | |
| ` <u> </u> | For FY 2008 | | | | Examiner Name G. S. Philipp | | | ···· | |
| Applicant dalm | s small entity status. S | 600 37 CFR 1.27 | <u> </u> | Vt Unit | | 2613 | | | |
| TOTAL AMOUNT OF P | AYMENY | (\$) 1,740.00 | | Attorney Docket I | No. | 37112-192025 | | | |
| METHOD OF PAY | MENT (check all th | nat apply) | | | | | | · — · | |
| Check C | edit Card M | loney Order | None | Other (| lease ideo | rify): | | | |
| X Deposit Account | Deposit Account Numb | | ر 61: | Deposit A | VOCOUNT NO | wer Ve | nable LLF | <u> </u> | |
| | Identified deposit a | | ctor is h | | | | | | |
| I — | fee(s) indicated bel | | | | | ndicated below, or | copt for t | he filing fee | |
| Charge | any additional fee(s |) or underpayme | nts of | X Credit | any over | payments | | | |
| | nder 37 CFR 1.16 B | and 1.17 | | | | | · | | |
| 1. BASIC FILING, SE | | INATION FEEC | | | | | | | |
| I, braic Fields, Sc | | G FEES | | RCH FEES | EXAM | NATION FEES | | | |
| | | Small Entity | | Small Entity | | Small Entity | | | |
| Application Type | Fee (\$) | | '99 (\$) | Fee (3) | Fee (\$ | | Fees | Pald (S) | |
| Utility | 310 | 155 | 510 | 255 | 210 | 105 | | | |
| Design | 210 | 105 | 100 | 50 | 130 | 65 | | | |
| Plant | 210 | 105 | 310 | 155 | 160 | 80 | | | |
| Reissue | 310 | 155 | 510 | 255 | 620 | 310 | | | |
| Provisional | 210 | 105 | 0 | 0. | 0 | 0 | | | |
| 2. EXCESS CLAIM F | EES | | | | | - | F (6) | Small Entity | |
| Fee Description | inaludina Bajasum) | • | | | | | Fee (\$) | Fee (\$) | |
| Each claim over 20 (i Each independent cla | | | | | | | 50 210 | 25 105 | |
| Multiple dependent c | | g Reissues) | | | | | 370 | 185 | |
| 1 ' ' | | oo (\$) | Fee Pa | ld (\$) | ı | Multiple Depende | | | |
| | * _ | | | | Ē | Feo (\$) | Fee Paid (| <u>.</u> | |
| HP = highest number of t | otal claims paid for, If gr | eater than 20. | | | | | | | |
| Indep. Claims | Extra Claims F | 00 (\$) | Fee Pa | ld (\$) | | | | | |
| HP + highest number of i | ndependent claims paid | for, if greater than 3 | | | | | | | |
| 3. APPLICATION SIZ | Œ FEE | _ | | | | | | | |
| | CFR 1.52(e)), the a | application size ! | lee due | is \$260 (\$130 f | | | | 0 | |
| | thereof. See 35 U | | | | | | | | |
| Total Sheets | Extra Sheets | | | fillional 50 or frac round up to a who | | | <u>Fee</u> | Paid (\$) | |
| 4. OTHER FEE(S) | | | · | ionus ab to a with | HOPPIUM DE | · | F000 | Paid (\$) | |
| | Non-English Specification, \$130 fee (no small entity discount) | | | | | | | | |
| Other (e.g., late fi | ling surcharge): | Issue Fee 8 | . Publi | cation Fee | | | <u>\$1,</u> | 740.00 | |
| SUBMITTED BY | 4 | 1 | | | | | | | |
| Signature | 111 | XVX | | logistration No. Attorney/Agent) | 1,269/ 53, | 825 Telephone | (202) 34 | 4-4000 | |
| Name (Print/Type) Mit | hael A. Sartoyi, Fi | h.D./Marina V | | | | Date | January | | |
| | 7.17 | | | ··, | | | Junuary | J, 2000 | |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Alan J. LIPTON et al.

Appl. No. 10/766,949 Confirmation No. 2493

Filed: January 30, 2004

For:

VIDEO BASED PASSBACK

EVENT DETECTION

Art Unit: 2613

Examiner: Gims S. Philippe

Atty. Docket No. 37112-192025

Customer No.

PATENT TRADEMARK OFFICE

AMENDMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated July 19, 2007, please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Amendments to the Abstract are reflected on page 16 of this paper.

Remarks/Arguments begin on page 17 of this paper.

11/18/2008 SDIRETA1 00000008 220261

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09/24/2007 MBELETE1 00000119 220261

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01 FC:2202 02 FC:2201

125.00 DA 1000.00 DA

01 FC:1201 02 FC:1202

2000.00 DA 250.00 DA